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realistic ecological status of Lake Balaton, compared with trophic status based on TP values, especially in the summer period. Differences in the response-time indication of phytoplankton and attached diatoms suggest that lack of coherence should also be expected between the responses of other BQEs.

Keywords (separated by '-') Water Framework Directive - Diatom index - Phytoplankton index - One out all out

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2 **Coherence of phytoplankton and attached diatom-based**
3 **ecological status assessment in Lake Balaton**

4 **Luciane O. Crossetti · Csilla Stenger-Kovács ·**
5 **Judit Padisák**

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20 plankton and phytobenthos metrics, both seasonally
21 and spatially. The Q index indicated ecological states
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25 status of Lake Balaton, compared with trophic status
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phytoplankton and attached diatoms suggest that lack 28
of coherence should also be expected between the 29
responses of other BQEs. 30

Keywords Water Framework Directive · Diatom 31
index · Phytoplankton index · One out all out 32

Introduction 34

Algae are small, live short lives and provide fast 35
response to environmental pressures. These simple 36
features make them suitable for testing ecological 37
hypotheses. The first competition models and their 38
experimental tests used phytoplankton species as 39
model organisms (Tilman, 1977). Natural phytoplank- 40
ton assemblages proved to be very useful in testing 41
ecological concepts like the Intermediate Disturbance 42
Hypothesis (Sommer et al., 1993; Reynolds et al., 43
1993) or the Equilibrium Concept (Naselli-Flores 44
et al., 2003). 45

Short generation times of phytoplankton species 46
make them responsive indicators of environmental 47
changes. Attached diatoms were less frequently used 48
for hypothesis testing although, in theory, their 49
assemblages might be described using similar models. 50
The first attempts (Passy, 2007) of using attached 51
diatom functional groups are promising but test 52
studies are rare (Rimet & Bouchez, 2011; Stenger- 53
Kovács et al., 2012). Regarding phytoplankton, the 54
functional groups are based on the morphological, 55

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56 physiological, and ecological similarities of the spe- 105
 57 cies (Reynolds et al., 2002), being widely used 106
 58 (Padisák et al., 2009). 107

59 Since freshwater quality in the industrialized world 108
 60 has begun to degrade, an increasing need to use biota 109
 61 as water quality indicators has emerged. The saprobic 110
 62 system was developed in the early twentieth century 111
 63 and has been still applied despite its recognized 112
 64 weaknesses. Heavy organic pollution can indeed be 113
 65 monitored by the saprobic system but it is unable to 114
 66 distinguish between effects of pollution and autoch- 115
 67 thonous organic matter content. When eutrophication 116
 68 became a central issue in limnology, proxy variables 117
 69 (like chlorophyll-*a* concentration or Secchi transpar- 118
 70 ency in deep lakes) of water quality assessment gained 119
 71 overall acceptance. The weaknesses of the saprobic 120
 72 system and the simple metrics are that they do not take 121
 73 into account differences in stream or lake types. As an 122
 74 extreme case, the same metrics and scales were used to 123
 75 assess ultraoligotrophic lakes and old, pristine oxbows 124
 76 and other naturally eutrophic lakes and ponds (Borics 125
 77 et al., 2012).

78 Landscape-oriented ecological concepts such as 126
 79 habitat fragmentation were developed relatively early 127
 80 to explain terrestrial biodiversity homogenization and 128
 81 degradation of ecosystems. Curiously, such concepts 129
 82 began penetrating into aquatic ecology and water 130
 83 quality assessment with a considerable delay. At 131
 84 present, it is becoming widely accepted that degrada- 132
 85 tion of surface waters is attributable to a large extent to 133
 86 non-pollution originated human impacts like canal- 134
 87 ization of river basins, shore-line or bank protection 135
 88 constructions in lakes, water stand or flow regulations, 136
 89 etc. 137

90 The first coherent concept that accepts these 138
 91 hydromorphological modifications and tries to handle 139
 92 them at a similar rank with organic/inorganic pollution 140
 93 is the Water Framework Directive (WFD) which was 141
 94 issued by the EC in 2000 (Directive, 2000). Thereby, 142
 95 ecoregions were established and stream and lake types 143
 96 were defined on the basis of some robust parameters 144
 97 (i.e., geology, catchment area or surface area, altitude, 145
 98 depth, etc.). According to the WFD ecological status is 146
 99 'an expression of the quality of the structure and 147
 100 functioning of aquatic ecosystems associated with 148
 101 surface waters' (Directive, 2000: Article 2: 21). 149
 102 Despite the fact that WFD allows for an incomparably 150
 103 more ecology-orientated assessment of ecosystems 151
 104 ecological status (type specificity, species-based 152
 153

indices, etc., see Padisák et al., 2006), it raises an 105
 unrealistic target in requiring a “one out, all out” 106
 assessment (Nöges et al., 2009). It means that status is 107
 assigned as the lowest of that achieved by one of five 108
 Biological Quality Elements (BQEs: fish, macroin- 109
 vertebrates, macrophytes, phyto-benthos, and phyto- 110
 plankton). However, the BQEs may not respond 111
 equally to the same stressors, leading to different 112
 ecological status assessment, and, in this sense, the one 113
 out all out concept may downgrade sites unjustifiably 114
 (Moss, 2007). Because of its serious ecological 115
 limitations (for example, disregarding scale-depend- 116
 ence) the “one out, all out” concept needs to be 117
 evaluated. 118

A number of studies consider either phytoplankton 119
 or phyto-benthos in the context with the WFD guide- 120
 lines. Indices for both groups were separately propos- 121
 ed and/or applied aiming at the assessment of 122
 ecological status of lakes and rivers (e.g., Schaumburg 123
 et al., 2004; Ács et al., 2004; Padisák et al., 2006; 124
 Borics et al., 2007; Stenger-Kovács et al., 2007; 125
 Ptacnik et al., 2009; Nöges et al., 2010; Abonyi et al., 126
 2012; Stanković et al., 2012). Nonetheless, there has 127
 been an overall lack of integrative studies comparing 128
 the efficiency of such methods in the determination of 129
 the ecological status in surface waters (Blanco et al., 130
 2007). Recognizing this fact, some research focused 131
 on using different groups of biota included in the WFD 132
 to access the ecological status, such as epilithic 133
 diatoms and macroinvertebrates (Blanco et al., 134
 2007); diatoms, macroinvertebrates, and macrophytes 135
 (Triest et al., 2004); macrophytes and diatoms (Furse 136
 et al., 2006); fish, macrophytes, benthic diatoms, and 137
 macroinvertebrates (Johnson et al., 2006); inverte- 138
 brates, oligochaetes, diatoms, and fishes (Lafont et al., 139
 2001); phytoplankton and macrophyto-benthos (Sagert 140
 et al., 2005); phytoplankton, phyto-benthos, and mac- 141
 rophytes (Cellamare et al., 2011); and phytoplankton, 142
 macroalgae, and macroinvertebrates (Simboura et al., 143
 2005), sometimes indicating substantial dissimilarities 144
 between the BQEs' responses. 145

Phytoplankton and phyto-benthos share a common 146
 feature: species of both types have short generation 147
 times. However, they are not expected to respond 148
 equally to the same environmental impacts. Phyto- 149
 plankton tends to respond faster to environmental 150
 changes since it drifts freely in horizontal water 151
 currents of lakes and its biomass doubling time is 152
 approximately twice as fast as that of periphyton 153

154 (~3 days against about a week; Padisák, 1994). On
 155 the other hand, phytoplankton does not drift in adverse
 156 conditions due to its sessile life form (Stevenson,
 157 1996), increasing the ability to hold information about
 158 previous environmental events in its structure, being
 159 influenced by both properties of the open water and
 160 local impacts from the shore. So, we hypothesize that
 161 the ecological status of Lake Balaton assessed using
 162 phytoplankton and phytobenthos might be different
 163 since the responses of both communities to environ-
 164 mental conditions must be different in time. Then, one
 165 of these communities must provide a more realistic
 166 ecological status of Lake Balaton. In this sense, the
 167 objective of the present study was to analyze the
 168 coherence between ecological status assessment by
 169 phytoplankton and attached diatoms in the littoral
 170 zone of Lake Balaton, Europe's largest shallow lake,
 171 focusing on the following questions: (1) What is the
 172 ecological status of Lake Balaton using phytoplankton
 173 and phytobenthos based indexes? (2) Is there coher-
 174 ence between the classifications given by each of these
 175 biological quality elements?

176 Materials and methods

177 Lake Balaton (43°3'50"–46°42'6"N, 17°14'58"–
 178 18°10'28"E, 104.5 m a.s.l.) is the largest shallow lake
 179 in Central Europe. It has a surface area of 593 km² and
 180 is 77.9 km long and 9 km wide on average (maximum
 181 width 15 km). The lake has a mean depth of 3.14 m
 182 ($Z_{\max} = 11$ m) and its theoretical retention time is
 183 3–8 years (Padisák, 1992). Several studies have been
 184 published about the lake's phytoplankton and

185 phytoplankton and its eutrophication and restoration
 186 histories (Padisák & Reynolds, 1998; Istvánovics
 187 et al., 2007). Differences in the ecological status of
 188 Lake Balaton's eastern and western basins have been
 189 described in previous studies and have been found to
 190 originate from ontogenetic reasons and catchment
 191 morphology (Padisák et al., 2006). This study took
 192 place at 10 sampling stations in the littoral region of
 193 Lake Balaton (Fig. 1): Balatonkenese (D1), Siófok
 194 (D2), Szántód Rév (D3), Balatonlelle (D4), Keresztúr
 195 (D5), Vonyarcvashegy (E1), Badacsony (E2),
 196 Szepezdfürdő (E3), Tihany (E4), Csopak (E5).

197 Water samples were taken with plastic bottles at
 198 approximately 10 cm below the water surface,
 199 monthly from March 2006 to February 2007. Tem-
 200 perature, pH, conductivity, dissolved oxygen, and
 201 oxygen saturation were measured using standard
 202 electrodes in the field. The following variables were
 203 determined in the laboratory: chemical oxygen
 204 demand (COD), soluble reactive phosphorus (SRP),
 205 total phosphorus (TP), nitrite (NO_2^- -N), nitrate
 206 (NO_3^- -N), ammonium (NH_4^+ -N), total nitrogen
 207 (TN), and soluble reactive silica (SRSi) (APHA,
 208 1995). Abiotic data were compared with those found
 209 in the monitoring service of Lake Balaton (North
 210 Transdanubian District Water Authority—Székesfe-
 211 hérvár; non-published data) to ensure the reliability of
 212 the observed values.

213 Phytoplankton samples for quantitative study were
 214 taken at approximately 10 cm below the water surface
 215 with a 200-ml flasks and immediately fixed with acetic
 216 lugol 1% in the littoral zone adjacent to open water
 217 (approximately 10–15 m from the shore), avoiding
 218 metaphyton sampling as much as was possible. The

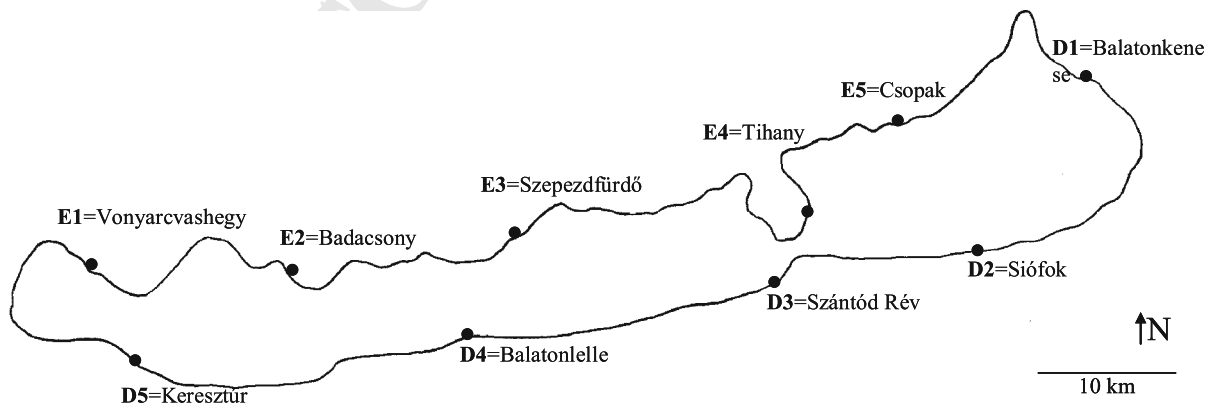


Fig. 1 Sampling stations on the northern (E1, E2, E3, E4, E5) and southern (D1, D2, D3, D4, D5) shorelines of Lake Balaton

analysis followed Utermöhl's (1958) method. At least 400 settling units were enumerated in every sample giving a counting accuracy of $\pm 10\%$ (Lund et al., 1958). Biomass (mg l^{-1}) was estimated using geometric approximation and the OPTICOUNT (2008) software was applied for counting and calculations. Phytoplankton biomass values were compared with the ones found in the literature (Padisák et al., 2010) to ensure the reliability of the data found in Lake Balaton. Phytoplankton identification was based on specific literature (e.g., Huber-Pestalozzi, 1955; Komárek & Fott, 1983; Popovski & Pfister, 1990; Komárek & Anagnostidis, 1999, 2005; Komárek & Komárková, 2004, 2006; Komárek & Zapomělová, 2007, 2008).

Attached diatoms were removed from five randomly selected reed stems with brushes. Identification of diatoms followed the peroxide method (Ács & Kiss, 2004) with embedding in Zrax[®]. At least 400 valves were counted under a light microscope to estimate relative abundances. Additionally, SEM investigations (Kiss, 1986) were applied whenever it was necessary. Diatoms identification was based on specific literature (e.g., Krammer & Lange-Bertalot, 1991–2000; Krammer, 2002; Lange-Bertalot, 1995–2002).

The functional group approach (Reynolds et al., 2002; Padisák et al., 2009) was applied to the phytoplankton species. Due to the high occurrence of non-planktonic diatoms eventually found in off-shore phytoplankton samples (MP codon according to Padisák et al., 2009) they were not included in the phytoplankton analysis in order to avoid noise and autocorrelations between phytoplankton and phyto-benthos ecological assessment, considering the objective of the present study. The MP species represented an average of 62% of phytoplankton biomass in the open water samples and their actual share depends on the absolute value of phytoplankton biomass (the less total biomass the higher share of MP diatom) and intensity of storm activity (Padisák et al., 1988, 1990). The ecological status assessment from the phytoplankton data was based on the Q index (Padisák et al., 2006), which takes into account the relative contribution of phytoplankton functional groups in the total biomass, as well as a factor number determined for each group according to the type of water body. The factor numbers considered were the ones determined in Padisák et al. (2006) according to the typology of

Hungarian lakes (Lake Balaton = type 1). Concerning diatom data, ecological status assessment was performed by the Trophic Diatom Index for Lakes (TDIL) (Stenger-Kovács et al., 2007), developed for Hungarian lakes, which is based on weighted average method, and takes into account a TP model, the relative abundance, the sensibility and the trophic indicator value of the species. Recently, TDIL, along with Indice Biologique Diatomees (IBD, Lenoir & Coste, 1996) were recommended for ecological status assessment based on benthic diatoms in Lake Balaton (Bolla et al., 2010). In the present study, only TDIL, which is type specific, was considered. IBD was specifically designed as an index of general water quality (Lenoir & Coste, 1996) and was not adapted to the region and the type of lake, as recommended by WFD intercalibration protocols (Pollard & van De Bund, 2005). The Q index and TDIL separated the ecological assessment into five classes: 0–1: bad; 1–2: poor; 2–3: moderate; 3–4: good; and 4–5: high. Boundary data in this study were set as described in Padisák et al. (2006) and Stenger-Kovács et al. (2007). Lake Balaton represents the Type 1 in Hungarian typology of lakes. There is no other lake in this category, therefore cross-testing of metrics and their boundary layers is impossible. The Q index, during its development, was tested against phytoplankton biomass and % of alien species (mostly cyanobacteria) as demonstrated in Padisák et al. (2006). Later, historical phytoplankton data were used to set reference state for the phytoplankton metrics (Hajnal & Padisák, 2008). In all these comparisons the Q index proved to be a useful tool to trace changes in ecological status of the lake both in eutrophication and restoration period. It is important to note here that the philosophy of the Q index is different from other metrics. While most others strongly rely on trophic status and TP as pressure variables (see Carvalho et al., 2013), the Q index measures the deviation from the phytoplankton composition that is considered optimal with no regards of the pressure variable which, apart the changes in trophic status, could be salinity change or change in dissolved organic materials. This provides a flexibility of the Q index though does not ease its testability. Additionally, no long-term TP data on Lake Balaton are available; in studies focusing on eutrophication history of the lake, Biologically Available Phosphorus (BAP) load in used as deriving force (Istvánovics et al., 2007), moreover, phytoplankton composition

317 was proved to be sensitive to BAP-load and loading
318 N/P ratio (Padisák & Istvánovics, 1997).

319 The TDIL was developed on data-base from 83
320 Hungarian shallow lakes using diatom and TP data
321 with high statistical accuracy (r^2 : 0.96; Stenger-
322 Kovács et al., 2007).

323 For comparisons among phytoplankton- and phy-
324 tobenthos-based ecological status assessments, two
325 sample t tests were employed. Multiple regressions
326 were applied to verify the accuracy of the metrics of the
327 BQEs concerning the variation of environmental
328 variables (SRP, nitrite, TP, temperature, and conduc-
329 tivity). These analyses were performed with the
330 software Systat version 12, for Windows (Systat,
331 2007). Environmental data were used to support the
332 quality assessment and explored by means of multi-
333 variate descriptive analysis and comparisons of TP
334 values with the trophic state index boundaries of the
335 OECD (1982), namely ultra-oligotrophic (TP <
336 $4 \mu\text{g l}^{-1}$), oligotrophic ($10 < \text{TP} > 4 \mu\text{g l}^{-1}$), meso-
337 trophic ($35 < \text{TP} > 10 \mu\text{g l}^{-1}$), eutrophic ($100 <$
338 $\text{TP} > 35 \mu\text{g l}^{-1}$), hypereutrophic (TP > $100 \mu\text{g l}^{-1}$).
339 A principal component analysis (PCA) was carried out
340 to verify the main tendencies of the abiotic data
341 variability in the studied period, to a covariance matrix
342 with data transformed by 'ranging'. Integrated analysis
343 between phytoplankton, periphytic diatoms and abiotic
344 data was performed by the ordination method canonical
345 correspondence analysis (CCA) to determine the
346 ordination of phytoplankton functional groups and
347 phyto-benthos species depending on the main environ-
348 mental variables. For the analysis, abiotic variables
349 with strongest correlations in the PCA were chosen as
350 well as attached diatom species and phytoplankton
351 functional groups which contributed with more than
352 1% of total relative abundance and to the total biomass,
353 respectively. The statistical significance was tested by
354 a Monte Carlo permutation test. For the CCA, abiotic
355 and biological data were log-transformed ($x + 1$).
356 PCORD version 6 (McCune & Mefford, 2011) was
357 used for the multivariate analyses.

358 Results

359 Environmental variables

360 Descriptive analysis of abiotic variables of Lake
361 Balaton during the study is given in Table 1. High

362 variability of abiotic data was observed in the littoral
363 region of Lake Balaton due to the habitat inhomoge-
364 neity and its exposure to point-like temporary events.
365 PCA performed with nine environmental parameters
366 explained 60.1% of the total variance in its two first
367 axes (Fig. 2). Temperature ($r = 0.985$) and dissolved
368 oxygen (-0.782) were the most important variables in
369 the ordination of the first axis, while nitrite (-0.754),
370 conductivity (-0.630), COD (-0.574), and SRP ($-$
371 0.551) were the main variables in the second axis'
372 ordination. Clear seasonal gradients were observed
373 over axis one. To the positive side of this axis, all the
374 sample units of summer and spring were correlated to
375 the higher temperature values. In contrast, in the
376 negative side of axis one, sample units of winter and
377 autumn were correlated to the higher concentration of
378 dissolved oxygen. The second axis showed a spatial
379 gradient. On its positive side, sample units of northern
380 shore of Balaton were associated with higher levels of
381 pH, and on its negative side southern samples were
382 ordinate towards the higher values of all dissolved
383 nutrients, conductivity, and COD.

384 The largest range of TP values were observed in the
385 points E1, E3, E5, and D4 (Fig. 3). The medians
386 showed much variation in the values of TP, classifying
387 Lake Balaton between mesotrophic and eutrophic
388 during the studied period. Higher concentrations of TP
389 were observed in the summer, especially August, at
390 various sampling points in the northern and southern
391 shorelines of Lake Balaton, reaching the boundaries of
392 hypertrophic condition, such as observed at E1
393 ($550 \mu\text{g l}^{-1}$), E2 ($2925 \mu\text{g l}^{-1}$), E3 ($139 \mu\text{g l}^{-1}$), E5
394 ($166 \mu\text{g l}^{-1}$), D1 ($2246 \mu\text{g l}^{-1}$), D2 ($407 \mu\text{g l}^{-1}$), and
395 D4 ($104 \mu\text{g l}^{-1}$).

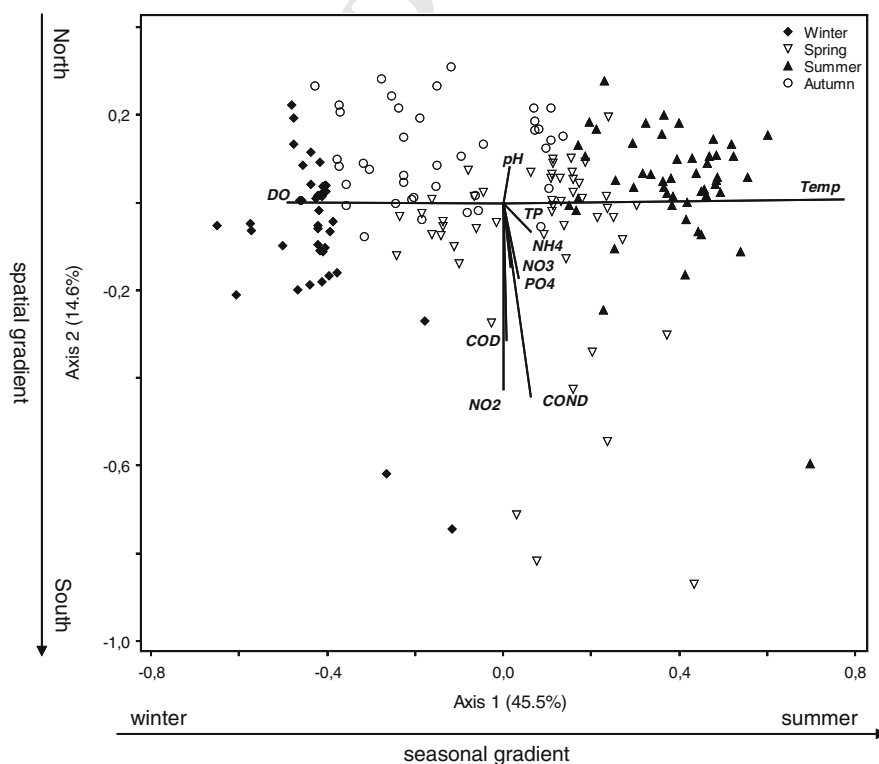
396 Phytoplankton and phyto-benthos integrated 397 analysis

398 The results of the CCA ordination with main attached
399 diatom species with respect to environmental vari-
400 ables accounted for eigenvalues of 0.068 and 0.037 for
401 the first two axes, while with phytoplankton functional
402 groups it accounted for eigenvalues of 0.297 and
403 0.125. The Pearson correlation of environment-spe-
404 cies for the first two axes of both analyses (0.718,
405 0.512 and 0.656, 0.521, respectively) indicated strong
406 correlation between abiotic variables and algal spe-
407 cies. The Monte Carlo test (99 permutations;
408 $P < 0.05$) demonstrated that the ordination of axes 1

Table 1 Minimum (min), maximum (max), mean values, standard error (SE), and variation coefficient (VC; %) of abiotic and biological variables on the northern (D1–D5; $n = 90$; * $n = 50$) and southern (E1–E5; $n = 90$) shorelines of Lake Balaton

Variables	North Balaton					South Balaton				
	Min	Max	Mean	SE	VC	Min	Max	Mean	SE	VC
Temperature (°C)	1.0	29.4	13.8	0.9	63	1.5	29.4	13.8	0.9	61
pH	6.5	9.4	8.0	0.1	6	5.8	9.7	8.0	0.1	6.4
Conductivity (µS)	270	1,280	714	19	26	230	1,100	700	17	22
DO (mg l ⁻¹)	2.0	26.9	10.2	0.5	45	2.0	16.9	9.7	0.4	37.8
SRP (µg l ⁻¹)	5.8	68.3	15.7	1.3	78	5.8	330.0	30.1	5.3	167
TP (µg l ⁻¹)	5	3,390	90	37	394	5	3,130	206	53	244
NO ₃ ⁻ -N (µg l ⁻¹)	20	14,354	677	164	230	20	1,665	406	35	83
NO ₂ ⁻ -N (µg l ⁻¹)	3	1,780	200	26	125	3	1,218	177	16	83
NH ₄ ⁺ -N (µg l ⁻¹)	37	418	57	5	89	10	738	90	12	129
COD (mg l ⁻¹)	5	41	11	0	40	5	40	10	0	35
Silicate (mg l ⁻¹)*	2	18	7	1	65	1	16	6	1	65
Phytoplankton biomass (mg l ⁻¹)	0.0002	11	1.8	0.3	121	0.02	9.9	1.5	0.3	133

Fig. 2 Biplot of PCA for the mean values of abiotic variables in Lake Balaton ($n = 180$). *COND* conductivity, *Temp* temperature, *DO* dissolved oxygen, *pH* pH, *TP* total phosphorus, *SRP* soluble reactive phosphorus, *NH₄* ammonium, *NO₂* nitrite, *NO₃* nitrate, *COD* chemical oxygen demand



409 and 2 was statistically significant ($P = 0.001$) for both
410 BQEs (Fig. 4).

411 The analysis with the main attached diatom species
412 showed through the canonical coefficients that tem-
413 perature (1.013) was the most important variable to

axis one ordination and SRP (0.600) and conductivity 414
(0.407) to the ordination of axis two. Representing 415
possible correlations between the abiotic variables and 416
their ordination with that axis, but retaining the 417
dependence relation between biotic and abiotic 418

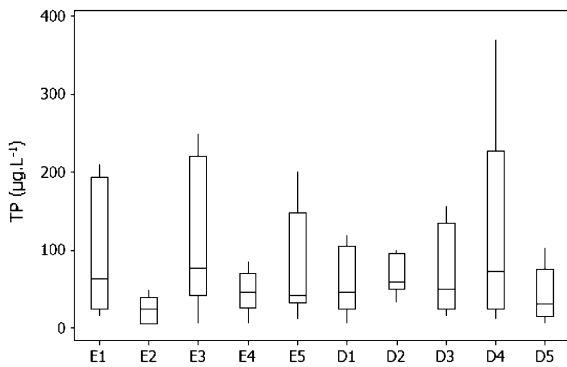


Fig. 3 Boxplot (variation of the data, median, interquartile ranges, $n = 12$) of total phosphorus (TP, $\mu\text{g L}^{-1}$) in South (D) and North (E) basins of Lake Balaton. Outliers were removed

419 variables, the intra-set correlations pointed out tem-
 420 perature (0.950) and dissolved oxygen (-0.709) as the
 421 most important variables for axis one while SRP
 422 (0.834) and conductivity (0.689) were highlighted for
 423 axis two (Fig. 4). As showed in the CCA with attached
 424 diatoms, the analysis with phytoplankton functional
 425 groups showed through the canonical coefficients that
 426 temperature (1.294) was the most important variable
 427 to axis one. For axis two, nitrite (-0.824) and
 428 dissolved oxygen (-0.646) presented the higher
 429 canonical coefficients. Considering the intra-set cor-
 430 relations for axis one, temperature (-0.871) was the

most important variable, while dissolved oxygen
 (-0.687) and conductivity (0.578) were highlighted
 for axis two (Fig. 4).

As showed by the PCA, the diagrams of both CCAs
 displayed a seasonal gradient over axis one and a
 spatial gradient (South–North) over axis two, with
 higher concentrations of nutrients, especially nitrite
 and conductivity on the southern shore (Fig. 4). The
 phytoplankton species *Nitzschia palea*, *N. fonticola*,
N. paleacea were ordinated on the positive side of the
 first axis associated with higher nutrient availability in
 western basin in the summer. *Fragilaria capucina* var.
gracilis was associated to the higher concentrations of
 nitrite and SRP, in winter, in the southern shore. On
 the negative side of axis two, another guild was
 associated with lower nutrient concentrations espe-
 cially in the summer period, whereas *Diatoma monil-*
iformis and *Gomphonema olivaceum* were associated
 in the winter with lower availability of nutrients and
 higher dissolved oxygen concentrations.

Regarding phytoplankton, CCA diagram evidenced
 the functional groups **H₁** and **S_N** associated with
 higher temperature in western basin and lower values
 of dissolved oxygen. Higher concentration of nitrite in
 summer ordinate the groups **L_O** and **E**, closest to the
 southern shore. Most of the phytoplankton func-
 tional groups (**J**, **D**, **P**, **S₁**) were closely related to
 the higher SRP concentrations in the spring. Higher

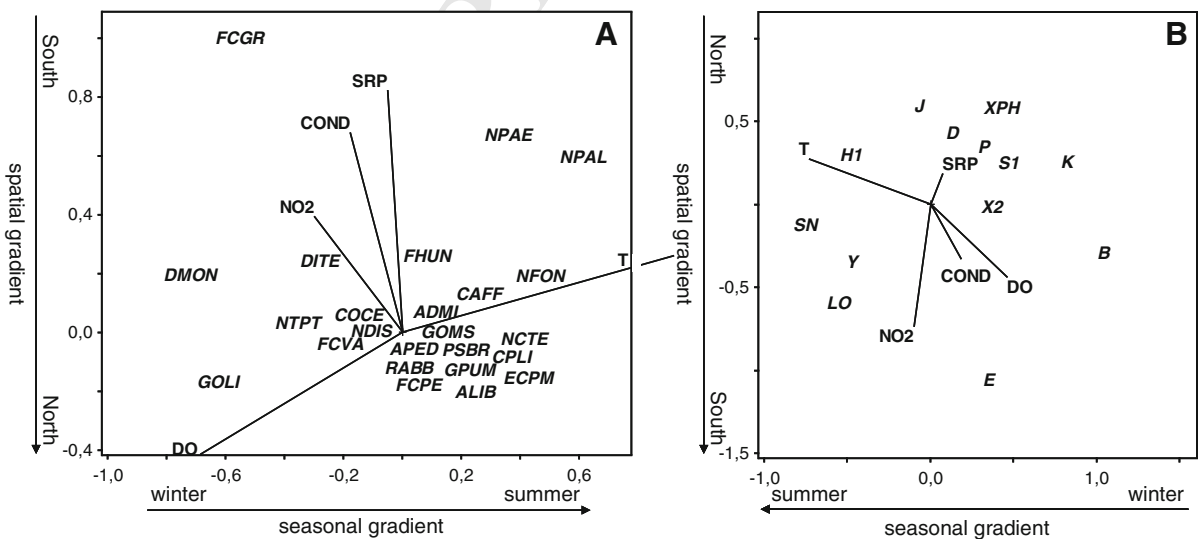


Fig. 4 CCA diagram for littoral zone of Lake Balaton, including limnological variables (explanatory variables) and benthic diatom species (A) and phytoplankton functional groups

(B) (dependent variables). DO dissolved oxygen, T water temperature, NO₂ nitrite, COND conductivity, SRP soluble reactive phosphorus. Species legends are given in Table 2

459 concentrations of dissolved oxygen found in winter
460 time ordinate specifically with the functional group **B**.

461 Phytoplankton and phytobenthos ecological
462 assessments

463 Littoral phytoplankton total biomass was mostly
464 composed of non-planktonic diatoms (62%). Of the
465 euplanktonic species, about 39% of total biomass
466 belonged to Cyanobacteria, followed by high contri-
467 butions of planktonic diatoms (32%) and green algae
468 (17%). Twenty two functional groups were found, the
469 most representative coda were: **B, D, E, H1, J, K, L_O,
470 P, S1, S_N, X2, X_{PH}, and Y** (Table 2).

471 Ecological assessment based on the Q index
472 indicated ecological status varying from bad to good
473 on both northern and southern shorelines of Lake
474 Balaton (Fig. 5). Table 2 shows the most important
475 attached diatom species and their relative contribution
476 in the littoral region of Lake Balaton. The ecological
477 assessment based on TDIL indicated ecological status
478 which varied from moderate to high in both the
479 southern and northern shorelines of Lake Balaton.
480 Higher ecological statuses were verified in the eastern
481 basin (sampling points E4, E5, D1, D2, and D3)
482 (Fig. 5). TDIL and Q Index varied significantly
483 according to a set of environmental variables ($r^2 =$
484 13%, $F = 3.2$; $P = 0.01$ and $r^2 = 16%$, $F = 4.0$;
485 $P = 0.002$, respectively).

486 Significant differences were found between the
487 ecological assessment based on the two assemblage
488 types ($t = 8.991$; $P = 0.001$) used in this study.
489 Figure 6 shows the Ecological Quality Ratio (EQR)
490 based on phytoplankton and benthic diatoms indices.
491 Discrepancies between the ecological statuses were
492 observed. The Q index indicated worse conditions in
493 Lake Balaton, indicating ecological status varying
494 from bad to good (Fig. 6) while the average diatom
495 index indicated a variation from moderate to good
496 conditions.

497 Regarding seasonality, the ecological status assessed
498 by both community indices was different in each
499 seasonal period ($t = 3.5$ —winter; $t = 4.7$ —spring;
500 $t = 6.2$ —summer; $t = 4.5$ —autumn; $P = 0.000$).

501 Spatially, the ecological status provided by the Q
502 index and benthic diatom average index did not differ
503 in the western → eastern ($t = 7.8$, $t = 4.8$; $P =$
504 0.000) or the northern → southern gradients ($t =$
505 5.1; $t = 7.3$; $P = 0.000$).

Discussion

507 Phytoplankton and phytobenthos assessments in this
508 study provided different results of ecological status of
509 Lake Balaton.

510 Integrated classification has been one of the major
511 issues addressed in the context of WFD guidelines.
512 Phytoplankton and attached diatoms are the first
513 groups of organisms to respond to nutrient enrichment
514 with excessive growth and shifts in community
515 composition resulting in several changes in the aquatic
516 ecosystem. Until now, the studies supporting the
517 implementation of the WFD have targeted the devel-
518 opment of individual indicators at the level of the
519 BQEs or single parameters within a BQE. Many
520 studies focusing on single BQE have appeared in the
521 scientific literature in recent years (Nöges et al., 2009,
522 Aguiar et al., 2011; Beltrami et al., 2012). Intercali-
523 bration exercises have also been done at BQE level,
524 considering national approaches within the same
525 geographical area (Kelly et al., 2009).

526 One of the crucial points of discussion is the
527 confidence level of the metrics used. The index used
528 for phytoplankton and phytobenthos assessment were
529 previously tested and showed reliable results. In the
530 original proposal, the phytoplankton assemblage Q
531 index was tested not only in Lake Balaton, but also in
532 Lake Fertő on the Austrian-Hungarian border, some
533 alkaline lakes and Hungarian oxbows (Padisák et al.,
534 2006). Recent studies have shown the applicability of
535 this tool in tropical, subtropical, mediterranean, and
536 temperate environments, after the appropriate calibra-
537 tion of the phytoplankton functional weights (F factor)
538 (Crossetti & Bicudo, 2008; Becker et al., 2009; Becker
539 et al., 2010; Nöges et al., 2010; Paształeniec &
540 Poniewozik, 2010). The index selected for the diatom
541 approach was successfully tested in 83 Hungarian
542 lakes (Stenger-Kovács et al., 2007) and recently
543 recommended as one of the most appropriate metrics
544 for ecological assessment through benthic diatoms in
545 Lake Balaton (Bolla et al., 2010).

546 Comparison of ecological evaluations by different
547 communities requires caution. Disparities shown by
548 the assessment of these communities may be assigned
549 to their structural and dynamic dissimilarities. An
550 important difference between planktonic and benthic
551 algae is the spatial organization of the algal commu-
552 nity. Phytoplankton are separate entities suspended in
553 the water column with nutrients available from any

Table 2 Main phytoplankton species, functional groups (FG) and contribution to the total biomass (%; $n = 120$) and main phytobenthos species, codes, and relative abundance (%; $n = 120$) in Lake Balaton

Codes	Phytobenthos species	%
ADMI	<i>Achnanthydium minutissimum</i> (Kütz.) Czarn.	16
APED	<i>Amphora pediculus</i> (Kütz.) Grun.	7
FHUN	<i>Fragilaria hungarica</i> Pant.	5
CPLI	<i>Cocconeis placentula</i> Ehr. var. <i>lineata</i> (Ehr.) Van Heurck	5
GOMS	<i>Gomphonema</i> sp.	5
FCVA	<i>Fragilaria capucina</i> Desm. var. <i>vaucheriae</i> (Kütz.) Lange-Bert.	4
RABB	<i>Rhoicosphenia abbreviata</i> (C.Agardh) Lange-Bert.	3
DMON	<i>Diatoma moniliformis</i> Kütz.	3
NCTE	<i>Navicula cryptotenella</i> Lange-Bert.	3
GOLI	<i>Gomphonema olivaceum</i> (Horn.) Bréb.	3
COCE	<i>Cyclotella ocellata</i> Pant.	3
CAFF	<i>Cymbella excisa</i> Kütz.	3
PSBR	<i>Pseudostaurosira brevistriata</i> (Grun. in Van Heurck) Williams & Round	3
NTPT	<i>Navicula tripunctata</i> (O. F. Müller) Bory	2
NPAE	<i>Nitzschia paleacea</i> (Grunow) Grunow in van Heurck	2
GPUM	<i>Gomphonema pumilum</i> (Grunow) Reichardt & Lange-Bertalot	2
ECPM	<i>Encyonopsis minuta</i> Krammer & Reichardt	2
ALIB	<i>Amphora libyca</i> Ehr.	2
NDIS	<i>Nitzschia dissipata</i> (Kütz.) Grun.	1
FCPE	<i>Fragilaria capucina</i> Desm. var. <i>perminuta</i> (Grun.) Lange-Bert.	1
FCGR	<i>Fragilaria capucina</i> Desmazieres var. <i>gracilis</i> (Oestrup) Hustedt	1
NPAL	<i>Nitzschia palea</i> (Kütz.) W. Smith	1
DITE	<i>Diatoma tenuis</i> Agardh	1
NFON	<i>Nitzschia fonticola</i> Grunow in Cleve et Müller	1
FG	Phytoplankton species	%
B	<i>Cyclotella comta</i> (Ehr.) Kütz.	16
	<i>Cyclotella ocellata</i> Pant.	2
D	<i>Fragillaria ulna</i> (Nitzsch) Lange-Bert.	11
E	<i>Dinobryon sociale</i> Ehr.	1
H1	<i>Aphanizomenon klebahnii</i> (Elenk.) Pechar et Kalina	3
J	<i>Pediastrum duplex</i> Meyen	3
	<i>Pediastrum boryanum</i> (Turpin) Menegh.	3
K	<i>Synechococcus nidulans</i> (Pringsheim) Komárek	1
LO	<i>Ceratium hirundinella</i> (O. F. Müller) Bergh	2
	<i>Peridinium cinctum</i> (O. F. Müller) Ehr.	1
P	<i>Aulacoseira granulata</i> (Ehr.) Simon.	2
S1	<i>Planktolingbya limnetica</i> (Lemm.) Kom.-Legn. & Cronb.	1
S_N	<i>Cylindrospermopsis raciborskii</i> (Wolosz.) Seen. & Subba-Raj.	28
X2	<i>Rhodomonas lacustris</i> Pascher & Ruttner	1
X_{PH}	<i>Phacotus lenticularis</i> (Ehr.) Stein	3
Y	<i>Cryptomonas erosa</i> Ehr.	2

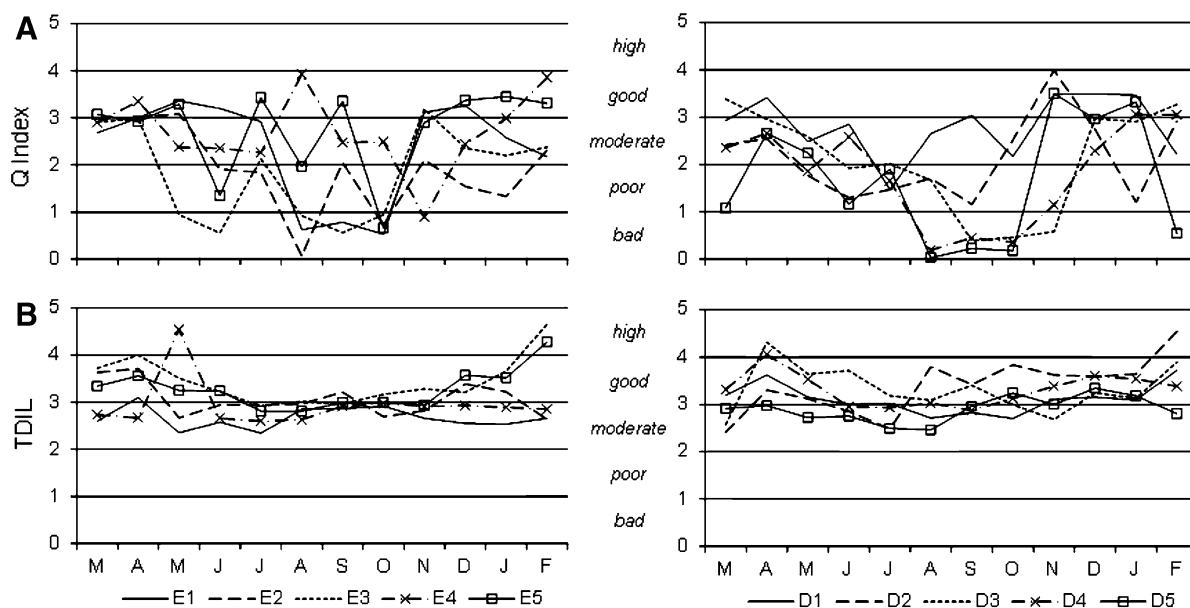
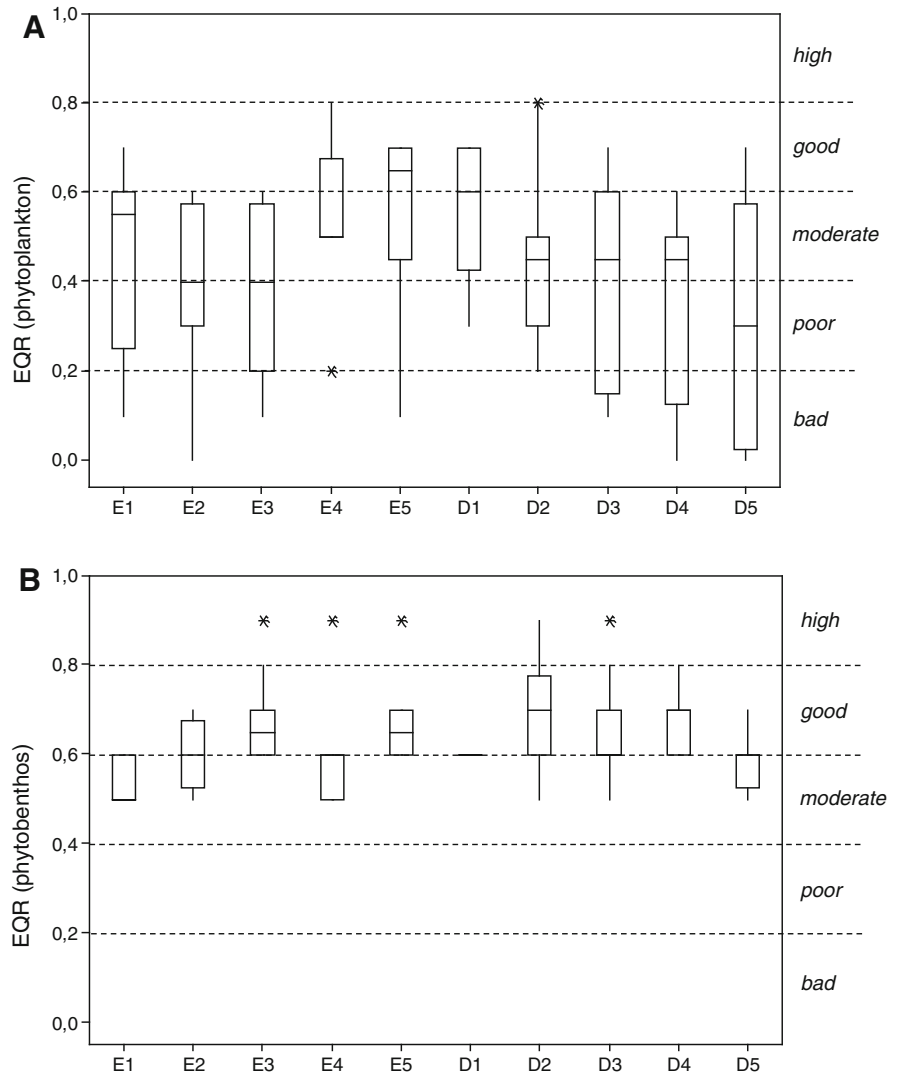


Fig. 5 Ecological status assessment (1–5) based on phytoplankton Q index (A) and phyto­benthos TDIL (B) in southern (D1, D2, D3, D4 and D5) and northern (E1, E2, E3, E4, E5) shorelines of Lake Balaton

554 direction. Benthic algae, on the other hand, often
 555 create mats on the substratum that are many cells thick
 556 (Borchardt, 1996), presenting a unique and complex
 557 relation with nutrient availability and cycling within
 558 the mats. Considering the trophic status based on TP
 559 values, the worst classification of Lake Balaton was
 560 observed in August. In that period, Q index pointed out
 561 the worst ecological status of Lake Balaton (poor) for
 562 the majority of the sampling points, in comparison the
 563 TDIL values indicated a moderate–good ecological
 564 status. In addition, after integrating the biological
 565 information through the CCA, the output showed that
 566 the responses of phytoplankton functional groups and
 567 the benthic diatoms species to the environmental
 568 variability were dissimilar. The majority of phyto-
 569 plankton functional groups were associated with the
 570 higher concentration of nutrients, while it was possible
 571 to verify the strongest association of phyto­benthos
 572 species to the lower concentrations of nutrients,
 573 especially in the eastern basin of Lake Balaton.
 574 Benthic algae may respond weakly to water column
 575 nutrients (Hansson, 1988). The lack of a strong direct
 576 response from periphyton to nutrient increases in
 577 water column may be a function of the compactness of
 578 the periphyton community and steep resource gradi-
 579 ents within the community (Lowe, 1996).

Beside nutrients, both shallow lake phytoplankton
 and phyto­benthos, especially in shoreline regions, are
 intermittently influenced by several factors related to
 the kinetic behavior of the medium. Such factors
 include wave action, turbulence (Tóth et al., 2011) and
 the consequent changes in light penetration and
 nutrient availability. As shown by Padisák et al.
 (1988, 1990; see also Padisák, 1993), phytoplankton
 of Lake Balaton is influenced by the stirring up effect
 of the wind which may reintroduce meroplanktonic
 species (Padisák & Dokulil, 1994) in the plankton and
 abruptly change the phytoplankton structure and
 dynamics providing competitive advantage to small-
 sized, *r*-strategists. A similar environmental scenario
 may disturb the developed canopy of attached diatom
 communities by detaching the loosely adherent spe-
 cies and simultaneously providing an opportunity to
 good colonizers, as described by Passy (2007) for
 stream diatoms. However, colonization needs time
 and therefore might be delayed. These differences are
 intrinsic to the considered communities and differ-
 ences in specific response times to equal environmen-
 tal forces are expected to asynchronize dynamic
 responses. Net growth rate for phytoplankton is
 considered as approximately 3 days (Padisák, 1994)
 while for attached diatoms the rate is about 6 days (Ács

Fig. 6 Boxplot (variation of the data, median, interquartile ranges, outliers, $n = 12$) of Ecological Quality Ratio (EQR, 0–1) based on Q index (A) and TDIL (B) in South (D) and North (E) basins of Lake Balaton



606 & Kiss, 1993). Characteristic lags were recently
607 explored in response of diatoms, non-diatom algae,
608 and macrophytes in a data-set from 24 Polish rivers
609 (Schneider et al., 2012).

610 The WFD “one out—all out” recommendation
611 states that the BQE showing the worst classification
612 determines the ecological status. According to some
613 experts (Moss, 2007), this principle tends to down-
614 grade sites unjustifiably depending on the metrics
615 included in the assessment. Johnson et al. (2006)
616 showed that errors within the individual quality
617 elements and metrics tended to show considerable
618 variation studying invertebrates, diatoms, fishes and
619 macrophytes in 162 European streams. Recently Rask

620 et al. (2011) discussed the discrepancy between the
621 Finish national classification system, which provided
622 the more realistic responses, and the “one out, all out”
623 approach. Following this WFD recommendation, the
624 dissimilarities found in Lake Balaton in the present
625 study through phytoplankton and phyto-benthos anal-
626 ysis would, especially in summer, downgrade the
627 ecological status of this ecosystem based on the poor
628 ecological status determined by Q index. Some ideas
629 were already discussed to avoid wrong determinations
630 in the ecological statuses of aquatic bodies, such as the
631 calculation of multimetric indices aggregating several
632 BQEs (Van de Bund & Solimini, 2006) or even the
633 development of alternative approaches for dealing

634 with the heterogeneity of the biological information
635 and uncertainty of the “one out all out” principle
636 (Gottardo et al., 2011).

637 Although some authors indicate the best period of
638 sampling for ecological assessment purposes (e.g.,
639 Padisák et al., 2006) for some biological indicators,
640 the results shown in the present study point out that
641 there is no guarantee that the classifications given by
642 different biological communities, as recommended by
643 WFD, will match. This might seriously influence the
644 agreement of different BQEs and the overall EQR of
645 European aquatic ecosystems. The “one out, all out”
646 WFD recommendation disregards at least two basic
647 ecological concepts: one is simply the scale-dependence
648 and the other is the different habitat requirements by
649 different BQEs.

650 In summary, the ecological status assessments of
651 Lake Balaton based on phytoplankton and phytobenthos
652 were different, ranging from bad to good and from
653 moderate to high, respectively. No reliable concordance
654 of ecological status assessment based on phytoplankton
655 and attached diatoms were demonstrated in a year in the
656 littoral region of Lake Balaton. The Q Index provided
657 more realistic ecological status of Lake Balaton, comparing
658 with trophic status based on TP values, especially in the
659 summer. Phytoplankton variability was higher and response
660 tends to be faster and more immediate for a specific site
661 in the case of sensitive periods (for example, the high
662 tourist season), while benthic communities preserve the
663 history from several weeks prior to investigations.
664 Phytobenthos are attached and less susceptible to
665 short-scale temporal variations. Since response-time
666 differences were traced regarding phytoplankton-
667 attached diatom indication, differences should also
668 be expected in the context of these to any other BQEs
669 since their characteristic response-time differences are
670 even longer.

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